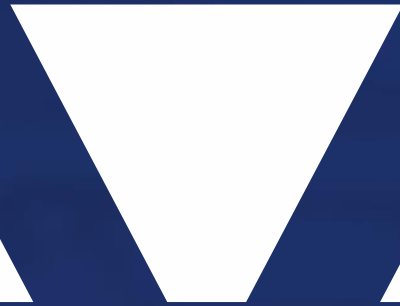


# TEMPLATE OF EXTERNAL ASSESSMENT REPORT FOR THE FOLLOW-UP PROGRAMME FOR OFFICIAL DOCTORATE DEGREES

January 2023



**AGÈNCIA VALENCIANA  
D'AVALUACIÓ I  
PROSPECTIVA**



AGÈNCIA VALENCIANA  
D'AVALUACIÓ I PROSPECTIVA





© Agència Valenciana d'Avaluació i Prospectiva

Plaça de l'Ajuntament nº6, 4th floor, pta. 6 i 5th floor, pta. 8  
46002, València



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This is an automatic English translation (DeepL Pro)



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## AGÈNCIA VALENCIANA D'AVALUACIÓ I PROSPECTIVA

The result of the evaluation process for the monitoring programme of official university doctoral programmes is a reasoned report in terms of "Favourable", "Favourable with requirements" or "Unfavourable", which will be sent, among others, to the Council of Universities so that it can issue the corresponding resolution on the renewal of the programme's accreditation.

The template of the evaluation report for the follow-up of official university doctoral programmes contains the following sections:

### 1. DEGREE DATA

• Name of the degree
• University administratively responsible
• In the case of inter-university degrees, participating universities
• Centre(s) where it is taught
• Number of places
• Implementation course
• Date of verification or renewal of accreditation

## 2. STRUCTURE OF THE REPORT

### DIMENSION 1 MANAGEMENT OF THE DEGREE

#### Criterion 1. Organisation and development

**Standard:** The training programme is student-centred, up-to-date and has been implemented in accordance with the conditions set out in the verified report and/or its subsequent modifications.

**Guideline 1.1:** *The programme has mechanisms in place to ensure that the entry profile of doctoral students is appropriate and their number is consistent with the characteristics and distribution of the programme's lines of research and the number of places offered.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 1.2:** *The programme has adequate mechanisms for supervision of doctoral students and, where appropriate, of training activities.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 1.3.** *The institution responds to the possible recommendations made in the Verification Report and, if applicable, in the possible modification reports, as well as to those that may be contained in the successive monitoring reports.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 1.4.** *Where applicable, the changes introduced in the doctoral programme and which have not been subject to modification do not alter the level 4 of the MECES and allow its updating in accordance with the requirements of the discipline.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Assessment of Criterion 1. Organisation and development**

**Standard:** *The training programme is student-centred, up-to-date and has been implemented in accordance with the conditions set out in the verified report and/or its subsequent modifications.*

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):

## Criterion 2. Information and transparency

**Standard:** Institutions should publish clear, accurate, objective, up-to-date and easily accessible information about their activities and programmes.

**Guideline 2.1.** *The university publishes objective, complete and up-to-date information on the doctoral programme, its characteristics, its development and the results achieved.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 2.2.** *The institution ensures easy access to relevant information about the doctoral programme for all stakeholders.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 2.3. The institution publishes the SAIC in which the doctoral programme is framed.**

Valuation:

- It exceeds excellently.** The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.
- It is achieved.** The guideline is fully achieved.
- Partially achieved.** The guideline is achieved but specific areas for improvement are identified.
- Not reached.** The guideline does not achieve the required minimum level.

Reasoned motivation of all aspects to be considered (Annex 3.3):

Notable strengths:

Areas for improvement:

**Assessment of Criterion 2. Information and transparency**

**Standard:** *Institutions should publish clear, accurate, objective, up-to-date and easily accessible information about their activities and programmes.*

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):

### Criterion 3. Internal Quality Assurance System (IQAS)

**Standard:** The institution has a formally established and implemented Internal Quality Assurance System (IQAS) that effectively ensures the continuous improvement of the doctoral programme.

**Guideline 3.1.** *The implemented SAIC ensures the collection of relevant information and results for decision-making and efficient management of the doctoral programme, especially on learning outcomes and stakeholder satisfaction.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Guideline 3.2.** *The implemented SAIC has procedures that facilitate the evaluation and improvement of the doctoral programme.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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*Guideline 3.3. The IQAS in place has adequate procedures for dealing with suggestions, complaints and compliments.*

Valuation:

- It exceeds excellently.** The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.
- It is achieved.** The guideline is fully achieved.
- Partially achieved.** The guideline is achieved but specific areas for improvement are identified.
- Not reached.** The guideline does not achieve the required minimum level.

Reasoned motivation of all aspects to be considered (Annex 3.3):

Notable strengths:

Areas for improvement:

**Assessment of Criterion 3. Internal Quality Assurance System (IQAS)**

**Standard:** *The institution has a formally established and implemented internal quality assurance system (IQAS) that effectively ensures the continuous improvement of the doctoral programme.*

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):

## DIMENSION 2. RESOURCES

### Criterion 4. Academic staff

**Standard:** Institutions should ensure the competence of their teaching staff. They should also use fair and transparent processes for the recruitment and development of their staff.

**Guideline 4.1. Academic staff meet the levels of academic qualification required for participation in the doctoral programme and accredit their research experience.**

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

**Guideline 4.2. The academic staff is sufficient and has the necessary dedication to carry out its functions adequately, considering the number of students in each line of research and the nature and characteristics of the doctoral programme.**

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

*Guideline 4.3. The doctoral programme has mechanisms for the recognition of tutoring and thesis supervision.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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*Guideline 4.4. The degree of participation of international experts in the monitoring committees and thesis tribunals is adequate according to the scientific field of the programme.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> Reasoned motivation of all aspects to be considered (Annex 3.3):  Notable strengths:  Areas for improvement:
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**Assessment of Criterion 4.**

**Standard:** *Institutions should ensure the competence of their teaching staff. They should also use fair and transparent processes for the recruitment and development of their staff.*

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):

**Criterion 5. Support staff, material resources and services**

**Standard:** Institutions should have sufficient funding to develop teaching and learning activities and ensure that students are offered sufficient and easily accessible learning support and resources.

**Guideline 5.1:** *The material resources available are appropriate to the number of students in each line of research and the nature and characteristics of the doctoral programme.*

<p>Valuation:</p> <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> <p>Reasoned motivation of all aspects to be considered (Annex 3.3):</p> <p>Notable strengths:</p> <p>Areas for improvement:</p>
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**Guideline 5.2:** *Academic guidance services and support staff respond to the needs of the training and learning process of students as researchers.*

<p>Valuation:</p> <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul> <p>Reasoned motivation of all aspects to be considered (Annex 3.3):</p> <p>Notable strengths:</p> <p>Areas for improvement:</p>
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**Assessment of Criterion 5. Support staff, material resources and services**

**Standard:** *Institutions should have sufficient funding to develop teaching and learning activities and ensure that students are offered sufficient and easily accessible learning support and resources.*

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):



### DIMENSION 3. RESULTS

#### Criterion 6. Learning outcomes

**Standard:** The learning outcomes achieved by doctoral students correspond to level 4 of the MECES (Spanish Qualifications Framework for Higher Education).

**Guideline 6.1:** The training activities, their teaching methodologies and the assessment systems used are appropriate and are in line with the objective of acquiring the expected learning outcomes.

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

**Guideline 6.2:** The academic indicators of the doctoral programme and their evolution correspond to level 4 of the MECES.

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

**Assessment of Criterion 6.**

**Standard:** The learning outcomes achieved by doctoral students correspond to level 4 of the MECES (Spanish Qualifications Framework for Higher Education).

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):

### Criterion 7. Satisfaction and performance indicators

**Standard:** The results of the doctoral programme indicators are appropriate to its characteristics and the socio-economic and research context, and meet the demands of its environment through periodic evaluation.

**Guideline 7.1:** *Satisfaction of students, teaching staff, graduates and other stakeholders is adequate.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

**Guideline 7.2:** *Indicators are appropriate to the profile of the students, in accordance with the scientific field of the programme.*

Valuation: <ul style="list-style-type: none"><li><input type="checkbox"/> <b>It exceeds excellently.</b> The guideline is fully achieved and, moreover, is an example that exceeds the basic requirements.</li><li><input type="checkbox"/> <b>It is achieved.</b> The guideline is fully achieved.</li><li><input type="checkbox"/> <b>Partially achieved.</b> The guideline is achieved but specific areas for improvement are identified.</li><li><input type="checkbox"/> <b>Not reached.</b> The guideline does not achieve the required minimum level.</li></ul>
Reasoned motivation of all aspects to be considered (Annex 3.3):
Notable strengths:
Areas for improvement:

**Guideline 7.3:** *The evolution of the indicators is coherent with the forecasts established in the verified report.*

Valuation:

- It exceeds excellently.** The guideline is fully achieved and, moreover, it is an example that exceeds the basic requirements.
- It is achieved.** The guideline is fully achieved.
- Partially achieved.** The guideline is achieved but specific areas for improvement are identified.
- Not reached.** The guideline does not achieve the required minimum level.

Reasoned motivation of all aspects to be considered (Annex 3.3):

Notable strengths:

Areas for improvement:

#### *Assessment of Criterion 7. Satisfaction and performance indicators*

**Standard:** The results of the doctoral programme indicators are appropriate to its characteristics and to the socio-economic and research context, and meet the demands of its environment through periodic evaluation.

- It exceeds excellently.** The standard corresponding to the criterion is fully achieved and, in addition, it is an example that exceeds the basic requirements.
- Achieved.** The standard corresponding to the criterion is fully achieved.
- Partially achieved.** The standard is achieved but specific aspects are detected that need to be improved.
- Not achieved.** The criterion does not achieve the minimum level required to reach the relevant standard.

MOTIVATION:

WHERE APPROPRIATE, PRESCRIPTIONS:

OTHER RECOMMENDATIONS AND SUGGESTIONS (OPTIONAL):



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Plaça de l'Ajuntament n°6, 4th floor, pta. 6 i 5th  
floor, pta. 8. 46002, València

962939390 - [avap@gva.es](mailto:avap@gva.es)